

ANNEX I

ISO3166 – 1 alpha2	Country name	1 st priority	2 nd priority	3 rd priority
AT	Austria	CONCAT		
BE	Belgium	Belgian National Number (Numéro de registre national – Rijksregisternummer)	CONCAT	
BG	Bulgaria	Bulgarian Personal Number	CONCAT	
CY	Cyprus	National Passport Number	CONCAT	
CZ	Czech Republic	National identification number (Rodné číslo)	Passport Number	CONCAT
DE	Germany	CONCAT		
D	Denmark	Personal identity code 10 digits alphanumerical: DDMMYYXXXX	CONCAT	
EE	Estonia	Estonian Personal Identification Code (Isikukood)		
ES	Spain	Tax identification number (Código de identificación fiscal)		
FI	Finland	Personal identity code	CONCAT	
FR	France	CONCAT		
GB	United Kingdom	UK National Insurance number	CONCAT	
GR	Greece	10 DSS digit investor share	CONCAT	
HR	Croatia	Personal Identification Number (OIB – Osobni identifikacijski broj)	CONCAT	
HU	Hungary	CONCAT		
IE	Ireland	CONCAT		
IS	Iceland	Personal Identity Code (Kennitala)		
IT	Italy	Fiscal code (Codice fiscale)		
LI	Liechtenstein	National Passport Number	National Identity Card Number	CONCAT
LT	Lithuania	Personal code (Asmens kodas)	National Passport Number	CONCAT
LU	Luxembourg	CONCAT		
LV	Latvia	Personal code (Personas kods)	CONCAT	
MT	Malta	National Identification Number	National Passport Number	
NL	Netherlands	National Passport Number	National identity card number	CONCAT
NO	Norway	11-digit personal id (Foedselsnummer)	CONCAT	
PL	Poland	National Identification Number (PESEL)	Tax Number (Numer identyfikacji podatkowej)	
PT	Portugal	Tax number (Número de Identificação Fiscal)	National Passport Number	CONCAT
RO	Romania	National Identification Number (Cod Numeric Personal)	National Passport Number	CONCAT
SE	Sweden	Personal identity number	CONCAT	
SI	Slovenia	Personal Identification Number (EMŠO: Enotna Matična Številka Občana)	CONCAT	
SK	Slovakia	Personal number (Rodné číslo)	National Passport Number	CONCAT
All other countries		National Passport Number	CONCAT	

Table 1: National client identifiers for natural persons (ESMA 2016/1064 RTS 22 Annex II dated 1 July 2016)

ANNEX II

Procedure to generate CONCAT

The CONCAT identifier consists of

- ISO code for country of nationality (2 characters)
- Date of birth (format YYYYMMDD = 8 characters)
- First name (first 5 characters after cleaning)
- Last name (first 5 characters after cleaning)

For first name and last name, the cleaning procedure consists of the following steps:

1. Obtaining the first name and surname

To minimise the risk of difference in spelling or use of abbreviations, the Investment Firm should ensure that the spelling of the person's full name is correct. Any use of short forms and abbreviations is not allowed.

2. Removing titles

Any prefixes to the names that denote titles, position, profession or academic qualifications, are to be removed. This includes, but is not limited to, the following list; this list is not case sensitive:

atty, coach, dame, dr, fr, gov, honorable, madam(e), maid, master, miss, monsieur, mr, mrs, ms, mx, ofc, ph.d, pres, prof, rev, sir

3. Removing titles

am, auf, auf dem, aus der, d, da, de, de l', del, de la, de le, di, do, dos, du, im, la, le, mac, mc, mhac, mhic, mhic giolla, mic, ni, ní, níc, o, ó, ua, ui, uí, van, van de, van den, van der, vom, von, von dem, von den, von der

Prefixes to surnames that are not included above, or prefixes attached to the name, i.e. McDonald, MacChrystal, O'Brian, O'Neal, should not be removed; but note that the apostrophes will be removed in the next step. The above list is not case sensitive.

4. Transliteration of apostrophes, accents, hyphens, spaces and similar

The following transliteration table should be applied, character by character, to the first name and surname. Generally described, the transliteration leaves any English A-Z or a-z character untouched and removes all the diacritics, apostrophes, hyphens, punctuation marks and spaces.

The following table maps a single input character to a single output character. This table should be applied to first name and surname prior to obtaining the five first characters, as specified in Article 6(4) of RTS 22.

For any names that are written in Cyrillic, Greek or any other non-Latin alphabet, and where no Latin form is present, a transliterated English version of the name should be applied using that alphabet's conventions.

5. Convert all special characters (non A-Za-z) according to the following table

6. Convert all characters to uppercase

7. Fill missing characters with “#”

More details can be found here:

https://www.esma.europa.eu/sites/default/files/library/2016-1452_guidelines_mifid_ii_transaction_reporting.pdf